

1614  
PATENTIN THE UNITED STATES PATENT AND TRADEMARK OFFICE  
(Case No. 01-019-A)

In re Application of:

Guiying Li, et al.

Serial No.: 10/038,069 ✓

Filed: December 21, 2001 ✓

For: Benzimidazole and Pyridylimidazole )  
Derivatives as Ligands for GABA<sub>A</sub> )  
Receptors ✓ )

Art Unit: 1614

Examiner: not assigned

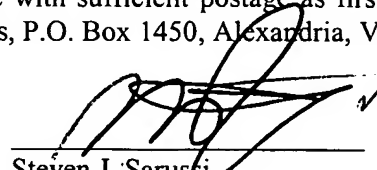
RECEIVED  
JUN 16 2003  
TECH CENTER 1600/2000TRANSMITTAL LETTERCommissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

In regard to the above identified application,

1. We are transmitting herewith the attached:
  - (a) Supplemental Information Disclosure Statement (2 pgs.);
  - (b) PTO-1449 Form (1 pg.);
  - (c) Copies of Cited References (5 References);
  - (d) Return Receipt Postcard.
2. With respect to additional fees:  
  X   a. No additional fee is required.  
       b. Enclosed is a check in the amount of \$           .
3. Please charge any deficiency in the amount due, or credit any overpayment, to Deposit Account No. 13-2490. A duplicate copy of this sheet is enclosed.
4. **CERTIFICATE OF MAILING UNDER 37 CFR § 1.8:** The undersigned hereby certifies that this Transmittal Letter and the paper(s), as described in paragraph 1 hereinabove, are being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on June 11, 2003.

By:

  
Steven J. Sarussi  
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HULBERT & BERGHOFF  
300 South Wacker Drive, Suite 3200  
Chicago, Illinois 60606  
Phone: 312/913-0001



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**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

Pursuant to the duty of disclosure provided by 35 C.F.R. § 1.56 and §§ 1.97-98, the applicants wish to make the following references of record in the above-identified application. Copies of the references are enclosed. Copies of the references are also listed in the PTO-1449 form enclosed herewith. It is requested that the documents be given careful consideration and that they be cited of record in the prosecution history of the present application so that they will appear on the face of the patent issuing from the present application.

Portions of the references may be material to the examination of the pending claims, however no such admission is intended. 37 C.F.R. 1.97 (h). The references have not been reviewed in sufficient detail to make any other representation and, in particular,

no representation is intended as to the relative importance of any portion of the references. This Statement is not a representation that the cited references have effective dates early enough to be "prior art" within the meaning of 35 U.S.C. sections 102 or 103, nor is this submission to be construed as a representation that a search has been made.

### **CITED REFERENCES**

#### **I. FOREIGN PATENT DOCUMENTS**

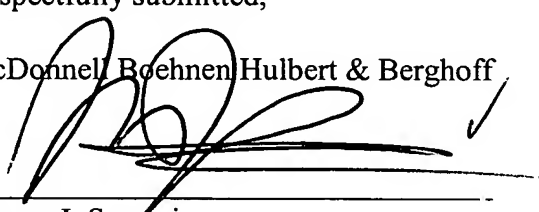
<b>Document No.</b>	<b>Date</b>	<b>Country</b>
1. WO 02/49993 A2	27 June 2002	PCT
2. WO 02/28839 A1	11 April 2002	PCT
3. WO 00/59888	12 October 2000	PCT
4. WO 00/59887	12 October 2000	PCT
5. WO 00/59886	12 October 2000	PCT

Respectfully submitted,

McDonnell Boenken Hulbert & Berghoff

Date: June 11, 2003

By:

  
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Registration No. 32,784